CUNO-170.3 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BASSETT, Laurence et al.

Serial No.: 10/753,894

Filing Date: January 8, 2004

Title: KEYED FILTER ASSEMBLY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING DATE OR BEFORE MAILING OF FIRST OFFICE ACTION

SIR:

In accordance with 37 C.F.R. §1.56, the materials listed on the attached PTO-1449 form are being brought to the Examiner's attention for consideration in connection with the examination of the above-identified patent application. Pursuant to 37 C.F.R. 1.98(d), the references cited are not enclosed because complete copies have been submitted in connection with parent applications numbers 09/553,982, filed April 20, 2000; and 10/208,492, filed July 30, 2002, of which this patent application is a Divisional Application. If the

Examiner requires copies of any of the references cited herein; please do not hesitate to contact the undersigned.

Respectfully submitted,

R. Thomas Payne Reg. No. 30,674

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CERTIFICATE OF MAILING

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Mary K Rudaltis

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/753,894 INFORMATION DISCLOSURE Filing Date 01/08/2004 STATEMENT BY APPLICANT First Named Inventor BASSETT, Laurence et al. Art Unit (use as many sheets as necessary) **Examiner Name** Sheet of Attorney Docket Number CUNO-170.3

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4,515,692	05/07/1985	Chandler et al.	
		US- 4,645,601	02/24/1987	Regunathan et al.	
		US- 4,731,183	03/15/1988	Schumacher, II	
	US- 4,735,716		04/05/1988	Petrucci et al.	
		US- 4,806,240	02/21/1989	Giordano et al.	
		US- 4,857,189	08/15/1989	Thomsen et al.	
		US- 4,904,382	02/27/1990	Thomsen	
		US- 4,956,086	09/11/1990	Thomsen et al.	
		US- 5,035,797	07/30/1991	Janik	
		US- RE 34,031	08/18/1992	Thomsen et al.	
		US- 5,180,015	01/19/1993	Ringgenberg et al.	
		US- 5,186,829	02/16/1993	Janik	
		US- 5,354,464	10/11/1994	Slovak et al.	
		US- 5,486,288	01/23/1996	Stanford et al.	
		US- 5,560,824	10/01/1996	Sann et al.	
		US- 5,766,463	06/16/1998	Janik et al.	
		US- 5,837,137	11/17/1998	Janik	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
		Office	³ Number⁴	Kind⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	Τ ⁶
		PCT	WO 94 02407		02/03/1994			
		EP	EP 0 395 197		10/31/1990			
		DE	DE 40 21 790		01/16/1992			
		PCT	WO 97 45362		12/04/1997		2000	
		EP	EP 0 580 501		01/26/1994			
								<u></u>

Examiner
Signature
Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (opflonal). See Kinds

Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
BASSETT, Laurence et al.)
Serial No.: 10/753,894) Group Art Unit: 1724
Filing Date: January 8, 2004)
Title: KEYED FILTER ASSEMBLY)
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
SIR:	

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- [X] 1. Pursuant to 37 CFR 1.97(b)
 [within 3 months of filing or prior to 1st Office Action]
- [] 2. Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
- [] 3. Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
- [] 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]
- [X] 5. A list of documents on form PTO-1449 together with copies of each identified document is enclosed herewith.

This paper is submitted in accordance with:

[X] 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

[] 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; 7. and [] 8. The required certification made in item 11 below; or [] The \$180.00 fee specified in 37 CFR § 1.17(p) for submission of 9. this Information Disclosure Statement is authorized in item 14 below. [] 10. 37 CFR §1.97(d): [before issue fee payment]; Each item of information contained in this Statement was cited in a Π 11. communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this Statement; or No item of information contained in this Statement was cited in a communication 12. Π from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement. No fee is believed due for filing this Information Disclosure Statement. However, [X]13. if a fee is required, please charge Deposit Account No. 033879. Respectfully submitted, R. Thomas Payne Reg. No. 30,674 **CUNO** Incorporated 400 Research Parkway P.O. Box 1018 Meriden, CT 06450-1018 T (203) 238-8737 F (203) 238-8716 tpayne@cuno.com

CERTIFICATE OF MAILING

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Date:

Docket Number (Optional) Application Number CUNO-170.3 10/753,894 INFORMATION DISCLOSURE CITATION Applicant(s) BASSETT, Laurence et al. (Use several sheets if necessary) Filing Date Group Art Unit 01/08/2004 1724 U.S. PATENT DOCUMENTS EXAMINER FILING DATE SUBCLASS DOCUMENT NUMBER DATE NAME CLASS INITIAL IF APPROPRIATE 10/27/1998 5,826,854 Janvrin et al. APR 3 0 2004 2001/0030148 A1 10/18/2001 Knight FOREIGN PATENT DOCUMENTS Translation REF DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER

DATE CONSIDERED